

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO. 19603/3232 (CRF D-2587B)	SERIAL NO. 09/846,588
	APPLICANT Goldman et al.	
	FILING DATE May 1, 2001	GROUP ART UNIT To Be Assigned 1636

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
QN	1	5,082,670	01/21/92	Gage et al.			
I	2	5,196,315	03/23/93	Ronnett et al.			
I	3	5,308,763	05/03/94	Ronnett et al.			
	4	5,491,084	02/13/96	Chalfie et al.			
QN	5	5,661,032	08/26/97	Miller et al.			

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QN	6	WO 96/38541	05/12/96	PCT			


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QN	7	Gage et al., "Isolation, Characterization, and Use of Stem Cells From the CNS," <u>Annu. Rev. Neurosci.</u> 18:159-192 (1995)
	8	Gage et al., "Survival and Differentiation of Adult Neuronal Progenitor Cells Transplanted to the Adult Brain," <u>Proc. Natl. Acad. Sci. USA</u> 92:11879-11883 (1995)
	9	Gao et al., "Neurotrophin-4/5 (NT-4/5) and Brain-Derived Neurotrophic Factor (BDNF) Act at Later Stages of Cerebellar Granule Cell Differentiation," <u>J. Neurosci.</u> 15(4):2656-2667 (1995)
	10	Memberg et al., "Proliferation, Differentiation, and Survival of Rat Sensory Neuron Precursors <i>In Vitro</i> Require Specific Trophic Factors," <u>Mol. Cell. Neurosci.</u> 6:323-335 (1995)
	11	Hoshimaru et al., "Differentiation of the Immortalized Adult Neuronal Progenitor Cell Line HC2S2 into Neurons by Regulatable Suppression of the <i>v-myc</i> Oncogene," <u>Proc. Natl. Acad. Sci. USA</u> 93:1518-1523 (1996)
	12	Ockel et al., "In Vivo Effects of Neurotrophin-3 During Sensory Neurogenesis," <u>Development</u> 122:301-307 (1996)
	13	Gravel et al., "Adenoviral Gene Transfer of Ciliary Neurotrophic Factor and Brain-Derived Neurotrophic Factor Leads to Long-Term Survival of Axotomized Motor Neurons," <u>Nature Medicine</u> 3:765-770 (1997)
QN	14	Ribotta et al., "Prevention of Motoneuron Death by Adenovirus-Mediated Neurotrophic Factors," <u>J. Neurosci. Res.</u> 48:281-285 (1997)

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QN	15	5,654,189	08/05/97	Lee et al.			
	16	5,750,376	05/12/98	Weiss et al.			
	17	5,753,505	05/19/98	Luskin			
	18	5,753,506	05/19/98	Johe			
QN	19	5,874,304	02/23/99	Zolotukhin et al.			

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QN	20	WO 97/07200	02/27/1997	PCT			


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QN	21	DiPolo et al., "Prolonged Delivery of Brain-Derived Neurotrophic Factor by Adenovirus-Infected Müller Cells Temporarily Rescues Injured Retinal Ganglion Cells," <i>Proc. Nat'l. Acad. Sci. USA</i> 95:3978-3983 (1998)
	22	Fariñas et al., "Characterization of Neurotrophin and Trk Receptor Functions in Developing Sensory Ganglia: Direct NT-3 Activation of TrkB Neurons In Vivo," <i>Neuron</i> 21:325-334 (1998)
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	25	Isenmann et al., "Excess Target-Derived Brain-Derived Neurotrophic Factor Preserves the Transient Uncrossed Retinal Projection to the Superior Colliculus," <i>Mol. Cell. Neurosci.</i> 14:52-65 (1999)
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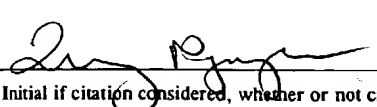
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QN	29	5,766,948	06/16/98	Gage et al.			
	30	5,770,414	06/23/98	Gage et al.			
	31	5,780,300	07/14/98	Artavanis-Tsakonas et al.			
	32	5,837,535	11/17/98	Joseph et al.			
QN	33	5,851,832	12/22/98	Weiss et al.			

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QN	34	WO 98/32879	07/30/98	PCT			

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QN	35	Ahmed et al., "BDNF Enhances the Differentiation but Not the Survival of CNS Stem Cell-Derived Neuronal Precursors," <u>J. Neurosci.</u> 15(8):5765-5778 (1995) Cited in PTO-892 Paper #8. Duplicate
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	37	Bejoechi et al., "Direct In Vivo Gene Transfer to Ependymal Cells in the Central Nervous System Using Recombinant Adenovirus Vectors," <u>Nature Genetics</u> 3:229-234 (1993) Cited in PTO-892 Paper #8. Duplicate
	38	Craig et al., "In Vivo Growth Factor Expansion of Endogenous Subependymal Neural Precursor Cell Populations in the Adult Mouse Brain," <u>J. Neurosci.</u> 16(8):2649-2658 (1996)
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QW	43	5,958,767	09/28/99	Snyder et al.			
	44	5,968,829	10/19/99	Carpenter			
	45	5,980,885	11/09/99	Weiss et al.			
	46	6,000,772	12/14/99	Miller et al.			
QW	47	6,225,122	05/01/01	Sah et al.			

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QW	48	WO 99/29279	06/17/99	PCT			

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QW	49	Goldman et al., "In Vitro Neurogenesis by Neuronal Precursor Cells Derived from the Adult Songbird Brain," <u>J. Neurosci.</u> 12(7):2532-2541 (1992)
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	65	Pencea et al., "Infusion of BDNF Into the Lateral Ventricle of the Adult Rat Leads to an Increase in the Number of Newly Generated Cells in the Fore, Mid and Hindbrain Parenchyma," Soc. Neurosci. 25:2045 (1999) (Abstract only)
QW	66	Reynolds et al., "Generation of Neurons and Astrocytes from Isolated Cells of the Adult Mammalian Central Nervous System," Science 255:1707-1710 (1992)

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	68	Roy et al., "Identification, Isolation, and Promoter-Defined Separation of Mitotic Oligodendrocyte Progenitor Cells from the Adult Human Subcortical White Matter," <u>J. Neurosci.</u> 19(22):9986-9995 (1999)
	69	Roy et al., "In Vitro Neurogenesis by Progenitor Cells Isolated from the Adult Human Hippocampus," <u>Nature Medicine</u> 6(3):271-277 (2000)
	70	Vescovi et al., "bFGF Regulates the Proliferative Fate of Unipotent (Neuronal) and Bipotent (Neuronal/Astroglial) EGF-Generated CNS Progenitor Cells," <u>Neuron</u> 11:951-966 (1993)
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	72	Wang et al., "Isolation of Neuronal Precursors by Sorting Embryonic Forebrain Transfected Regulated by the T α 1 Tubulin Promoter," <u>Nat. Biotechnol.</u> 16(2):196-201 (1998)
	73	Yoon et al., "Adenovirus-Mediated Gene Delivery into Neuronal Precursors of the Adult Mouse Brain," <u>Proc. Nat'l. Acad. Sci.</u> 93:11974-11979 (1996)
	74	Zigova et al., "Intraventricular Administration of BDNF Increases the Number of Newly Generated Neurons in the Adult Olfactory Bulb," <u>Mol. Cell. Neurosci.</u> 11:234-245 (1998) Cited in PTO-892 Paper #8
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	79	Gloster et al., "The T α 1 α -Tubulin Promoter Specifies Gene Expression as a Function of Neuronal Growth and Regeneration in Transgenic Mice," <u>J. Neurosci.</u> 14(12):7319-7330 (1994)
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	82	Gould et al., "Proliferation of Granule Cell Precursors in the Dentate Gyrus of Adult Monkeys is Diminished by Stress," <u>Proc. Natl. Acad. Sci. USA</u> 95:3168-3171 (1998)
	83	Eriksson et al., "Neurogenesis in the Adult Human Hippocampus," <u>Nature Medicine</u> 4:1313-1317 (1998)
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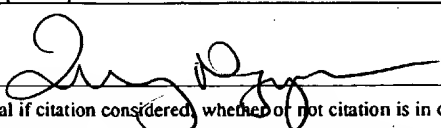
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	95	Sakakibara et al., "Mouse-Musashi-1, a Neural RNA-Binding Protein Highly Enriched in the Mammalian CNS Stem Cell," <i>Dev. Biol.</i> 176:230-242 (1996)
	96	Sakakibara et al., "Expression of Neural RNA-Binding Proteins in the Postnatal CNS: Implications of Their Roles in Neuronal and Glial Cell Development," <i>J. Neurosci.</i> 17(21):8300-8312 (1997).
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	100	Wang et al., "Promoter-Based Isolation and Fluorescence-Activated Sorting of Mitotic Neuronal Progenitor Cells From the Adult Mammalian Ependymal/Subependymal Zone," <i>Dev. Neurosci.</i> 22:167-176 (2000)
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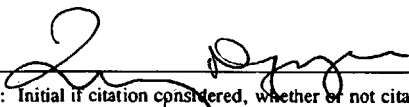
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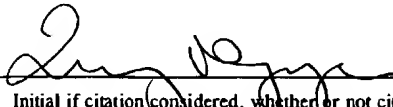
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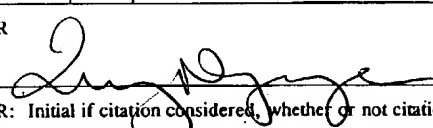
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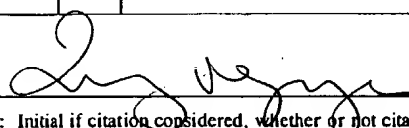
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